



UNITED STATES PATENT AND TRADEMARK OFFICE

I, Susan ANTHONY BA, ACIS,

Director of RWS Group Ltd, of Europa House, Marsham Way, Gerrards Cross, Buckinghamshire, England declare;

1. That I am a citizen of the United Kingdom of Great Britain and Northern Ireland.
2. That the translator responsible for the attached translation is well acquainted with the French and English languages.
3. That the attached is, to the best of RWS Group Ltd knowledge and belief, a true translation into the English language of the accompanying copy of the specification filed with the application for a patent in France on 15 January 2003 under the number 03/50,002 and the official certificate attached hereto.
4. That I believe that all statements made herein of my own knowledge are true and that all statements made on information and belief are true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the patent application in the United States of America or any patent issuing thereon.

A handwritten signature in black ink, appearing to be "S. BA", with a long horizontal line extending to the right.

For and on behalf of RWS Group Ltd

The 3rd day of July 2006



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# PATENT

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## UTILITY CERTIFICATE – CERTIFICATE OF ADDITION

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Drawn up in Paris, 26 JUNE 2006

On behalf of the Director-General of the  
Institut National de la Propriété Industrielle  
The Patent Department Head

[signature]

Martine PLANCHE

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# PATENT

## CERTIFICATE OF UTILITY

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Intellectual Property Code – Book VI

### REQUEST FOR GRANT

|  |   |
|--|---|
| DATE OF SUBMISSION OF THE DOCUMENTS 15/01/2003<br>NATIONAL REGISTRATION No. 03/50,002<br>PLACE OF FILING 75<br>DATE OF FILING 15/01/2003 | Marie DUCREUX<br>L'AIR LIQUIDE<br>75 quai d'Orsay<br>75321 PARIS CEDEX 07<br>France |
| Your file references: S6093 ALSI OP  |   |

|  |   |          |
|--|---|----------|
| <b>1 NATURE OF THE APPLICATION</b>   |   |          |
| Patent application   |   |          |
| <b>2 TITLE OF THE INVENTION</b>  |   |          |
| USE OF XENON OR N2O IN THE TREATMENT OF POST-ISCHAEMIC BRAIN CELL DETERIORATION  |   |          |
| <b>3 PRIORITY DECLARATION OR APPLICATION FOR THE BENEFIT OF THE FILING DATE OF A PRIOR FRENCH APPLICATION</b>  | Country or company  | Date No. |
| <b>4-1 APPLICANT</b>   |   |          |
| Surname<br>Handled by<br>Street<br>Postcode and town<br>Country<br>Nationality<br>Legal form<br>SIREN No.<br>APE-NAF Code<br>Telephone No.<br>Fax No.<br>Email | L'AIR LIQUIDE SANTE (INTERNATIONAL)<br>Olivier PITTIS<br>10 rue Cognacq-Jay<br>75007 PARIS<br>France<br>France<br>Société anonyme<br>552 134 728<br>671C<br>01 40 62 54 49<br>01 40 62 56 95<br>olivier.pittis@airliquide.com |          |
| <b>5A REPRESENTATIVE</b>   |   |          |
| Surname<br>Forename<br>Capacity<br>Firm or Company<br>Street<br>Postcode and town<br>Telephone No.<br>Fax No.<br>E-mail  | DUCREUX<br>Marie<br>Special list, No power of attorney<br>L'AIR LIQUIDE<br>75 quai d'Orsay<br>75321 PARIS CEDEX 07<br>01 40 62 53 75<br>01 40 62 56 95<br>marie.ducieux@airliquide.com  |          |



# PATENT

## CERTIFICATE OF UTILITY

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| Your file references: XX  |   |

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| Surname<br>Forename<br>Capacity<br>Firm or Company<br>Street<br>Postcode and town<br>Telephone No.<br>Fax No.<br>E-mail  | DUCREUX<br>Marie<br>Special list, No power of attorney<br>L'AIR LIQUIDE<br>75 quai d'Orsay<br>75321 PARIS CEDEX 07<br>01 40 62 53 75<br>01 40 62 56 95<br>marie.ducieux@airliquide.com                                      |          |

| 6 DOCUMENTS AND FILES ATTACHED |  | Electronic file             | Pages  | Details        |                   |
|--------------------------------|--|-----------------------------|--------|----------------|-------------------|
| Patent text                    |  | textebrevet.pdf             | 11     | D 7, R 3, AB 1 |                   |
| Drawings                       |  | dessins.pdf                 |        | , figures 8    |                   |
| Designation of the inventors   |  |                             |        |                |                   |
| 7 METHOD OF PAYMENT            |  |                             |        |                |                   |
| Method of payment              |  | Debit to client account No. |        |                |                   |
| Client's account No.           |  | 516                         |        |                |                   |
| 8 SEARCH REPORT                |  |                             |        |                |                   |
| Immediate compilation          |  |                             |        |                |                   |
| 9 FEES ENCLOSED                |  | Currency                    | Rate   | Quantity       | Amount to be paid |
| 062 Filing                     |  | EURO                        | 0.00   | 1.00           | 0.00              |
| 063 Search report (S.R.)       |  | EURO                        | 320.00 | 1.00           | 320.00            |
| 068 Claims from the 11th       |  | EURO                        | 15.00  | 4.00           | 60.00             |
| Total to be paid               |  | EURO                        |        |                | 380.00            |

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**Signed by**

Signatory: FR, Air Liquide, M. Ducreux

Certificate issued by: DE, D-Trust GmbH, D-Trust for EPO 2.0

**Function**

Accredited representative (First Applicant )

The invention relates to the use of nitrous oxide (N<sub>2</sub>O) and/or of xenon or of an N<sub>2</sub>O or xenon donor for producing all or part of a medicinal product intended to treat or prevent post-ischaemic brain cell  
5 deterioration, in particular deterioration subsequent to a stroke, especially all or part of an inhalable gaseous medicinal product, in humans or animals.

In cerebral ischaemia subsequent to a stroke, and in  
10 strokes in general, a functional alteration of many neurotransmission systems is usually noted from a neurochemical point of view, in particular an increase in the release of glutamate, the excitotoxicity and contribution of which to neuronal death are known, as  
15 recalled by *Dirnagl et al., Trends Neurosci, 22: 391, 1999.*

Moreover, from a functional point of view, in the case of global ischaemia in the rat, an increase is observed  
20 in locomotor activity, in particular described by *Wang and Corbett, Brain Res., 533: 78, 1990; Baldwin et al., Neurodegeneration 2: 139, 1993*, the development of which is generally attributed to an alteration in cognitive functions of spatial recognition rather than  
25 to an alteration in sensory-motor functions.

As a result, a potential therapeutic role for ionotropic and metabotropic glutamergic receptor antagonists have been suspected, in particular by  
30 *Chazot, Curr Opin Invest Drugs 1: 370, 2000; Drian et al., Neurochem Int 38: 509, 2001.*

It also appears that the deleterious effects of known cerebral ischaemias appear to involve localized  
35 ischaemias which are thought to be caused by glutamergic excitotoxicity.

In fact, the therapeutic potential of glutamergic receptor antagonists is often put forward in the treatment of neuropathologies of excitotoxic origin, in particular cerebral ischaemia, as described by Dirnagl  
5 et al., *Trends Neurosci* 22: 391, 1999, and productive disorders, as described by Benes, *Brain Res. Review* 31: 251, 2000.

However, the physiology of glutamergic receptors is  
10 complex and it appears that the high affinity antagonists may also exhibit neurotoxic properties, according to Burns et al., *Psychopharmacology* 115: 516, 1994.

15 Thus, a potential therapeutic advantage of low affinity antagonists, in particular for NMDA, has recently been proposed by Palmer and Widzowski, *Amino acids* 19: 151, 2000.

20 To date, no medical product however exists for preventing or treating, at least partially, post-ischaemic brain cell degradation subsequent to strokes.

The present invention falls within this context, and  
25 aims to provide all or part of a medicinal product which can be used for preventing, decreasing or treating any post-ischaemic brain cell deterioration, in particular subsequent to a stroke, in humans or animals.

30 The invention therefore relates to the use of nitrous oxide (N<sub>2</sub>O) and/or of xenon or of an N<sub>2</sub>O or xenon donor for producing all or part of a medicinal product intended to treat, minimize or prevent post-ischaemic  
35 brain cell deterioration.

Depending on the case, the use of the invention may comprise one or more of the following technical characteristics:

- 5    -    all or part of the gaseous medicinal product is in inhalable form;
- the post-ischaemic brain deterioration results in or is subsequent to a stroke;
- 10   -    the xenon or the xenon donor is in gaseous form or is included in a gas or a mixture of gases;
- the nitrous oxide ( $\text{N}_2\text{O}$ ) or the nitrous oxide donor  
15   is in gaseous form or is included in a gas or a mixture of gases;
- the medicinal product contains an effective proportion of nitrous oxide ( $\text{N}_2\text{O}$ ) and/or of xenon or of  
20   an  $\text{N}_2\text{O}$  or xenon donor;
- the medicinal product also contains at least one other gaseous compound chosen from oxygen, nitrogen or argon, preferably nitrogen and oxygen;
- 25   -    the medicinal product contains an amount which is less than 60% by volume of xenon or of xenon donor, preferably less than or equal to 50% by volume;
- 30   -    the medicinal product contains an amount ranging up to approximately 80% by volume of  $\text{N}_2\text{O}$  or of  $\text{N}_2\text{O}$  donor, preferably up to 75% of  $\text{N}_2\text{O}$ ;
- the medicinal product contains from 19 to 25% by  
35   volume of oxygen and, optionally, of nitrogen.

The invention therefore also relates to an inhalable medicinal product with neuroprotective action in the



brain, containing an effective amount of nitrous oxide (N<sub>2</sub>O) and/or of xenon or of a donor of such a compound, in particular intended to treat, minimize or prevent post-ischaemic brain cell deterioration.

5

According to the case, the medicinal product of the invention may comprise one or more of the following technical characteristics:

10 - it contains an amount ranging up to 80% by volume of gaseous N<sub>2</sub>O or an amount which is less than 60% by volume of xenon;

- it also contains from 19 to 25% by volume of  
15 oxygen and, optionally, of nitrogen.

The idea on which the present invention is based is to take advantage of the NMDA receptor antagonist properties of xenon or N<sub>2</sub>O for their neuroprotective  
20 nature, in prevention or treatment of post-ischaemic pathologies subsequent to strokes.

In fact, recent studies, carried out in vitro, have shown that xenon and N<sub>2</sub>O can potentially behave like  
25 low-affinity antagonists of glutamergic receptors for N-methyl-D-aspartate, NMDA (*Franks et al., Nature* 396: 324, 1998; *Jevtovic-Todorovic et al., Nature Med.* 4: 460, 199; *Yamakura and Harris, Anesthesiology*, 20008).

30 Based on these observations, experiments were carried out in the context of the present invention, with the aim of determining the neuroprotective effects of N<sub>2</sub>O and of xenon, on neuronal death induced by transient cerebral ischaemia in rats.

35

In order to demonstrate the beneficial effect of administering N<sub>2</sub>O or xenon on brain cells subsequent to cerebral ischaemia, adult Sprague-Dawley rats weighing

350 g were subjected to the following experimental protocol.

On day 1, focal ischaemia was induced in each of the  
5 rats by middle cerebral artery occlusion (MCAO), for a period of 1 h 30 minutes.

The transient focal cerebral ischaemia by MCAO is obtained conventionally by introducing a flexible nylon  
10 thread 1, represented diagrammatically in Figure 1 (length 6.5 mm, diameter 180  $\mu$ m), a portion 2 of the proximal end of which has a diameter greater than that of the thread (length 3 mm, diameter 380  $\mu$ m), into the vascular system of the rat, as far as the region of the  
15 ipsilateral hemisphere so as to cause an embolism therein, i.e. an ischaemia.

Next, the rats are reperfused for 10 to 20 minutes, and are then made to inhale several mixtures of gases,  
20 namely:

- mixture No. 1: air (control)
- mixture No. 2: N<sub>2</sub>O (75% vol), the remainder being oxygen (25%)
- 25 - mixture No. 3: xenon (50% vol), the remainder being oxygen (20 to 25%) and nitrogen (30 to 25%), respectively
- mixture No. 4: xenon (75% vol), the remainder being oxygen (25%).

30

On day 2, i.e. 24 hours after reperfusion, the rats are killed, the brains are recovered and frozen, and thin sections 40  $\mu$ m thick are cut and then stained with cresyl violet, as shown in Figure 5.

35

The volume of neuronal death is calculated, from the sections obtained after staining, in a conventional

manner using an appropriate, commercially available conventional program.

5 In fact, as shown diagrammatically in Figure 2, the cerebral ischaemia engenders, in general, in 24 hours, an infarction in the region which has been subjected to ischaemia (penumbra), leading to neuronal death in the brain cells present in a considerable portion of this region.

10

The results obtained during these measurements have been recorded in Figures 3a to 3d, which make it possible to visualize the post-cerebral ischaemia neuroprotective effect of mixtures No. 2 to 4 above, in  
15 comparison with mixture No. 1 (air) which serves as a control.

Thus, Figure 3a clearly shows that inhalation by the rats of xenon (Xe) or of nitrous oxide (N<sub>2</sub>O) subsequent  
20 to an ischaemia makes it possible to considerably reduce the total volume of infarction, since a decrease in this volume of approximately 50% can be achieved in the case of inhalation of mixtures No. 2 and No. 3 instead of air (mixture No. 1 acting as control), and  
25 of approximately 30% when mixture No. 4 is inhaled. In this respect, it will also be noted that inhalation of 50% by volume of xenon (mixture No. 3) is more effective than inhalation of a higher dose of xenon, namely 75% (mixture No. 4), which implies that the most  
30 effective dose appears to be closer to 50% than to 75% with regard to xenon.

Figures 3b to 3d confirm the results of Figure 3a, since they make it possible to observe that inhalation  
35 of xenon or of N<sub>2</sub>O makes it possible to decrease, respectively, the post-ischaemic volume of cortical infarction (Fig. 3b), the post-ischaemic volume of striatal infarction (Fig. 3c) and the post-ischaemic

volume of oedema (Fig. 3d), compared to inhalation of air (control = mixture No. 1).

Based on this observation, complementary examinations  
5 were carried out in order to determine the neurotoxic effects of the xenon and of the nitrous oxide ( $N_2O$ ), at various amounts, compared to air, on brain receptors of the NMDA type.

10 The results of these examinations are reported in Figure 4, which clearly shows that the administration of xenon or of nitrous oxide engenders a smaller volume (in  $mn^3$ ) of deteriorated NMDA receptors than the control (air), this being with the nitrous oxide given  
15 at a dose of 50% or 75% by volume (remainder = 25% of  $O_2$ ) and the xenon given at a dose of 50% or 75% (remainder = mixture of 25% of  $O_2$  + 25% of  $N_2$ , or, respectively, 25% of  $O_2$ ).

20 However, a neurotoxic effect which is variable according to the dose administered thus emerges, leading to the observations that  $N_2O$  at 75% and xenon at 50% by volume are more neuroprotective than  $N_2O$  at a dose of 50% and xenon at a dose of 75%.

25 In other words, these data confirm that administration by inhalation of xenon at a dose of 50% by volume (or less) or of  $N_2O$  at a dose of 75% by volume (or less) engenders a neuroprotective action with respect to  
30 cerebral ischaemia and other similar excitotoxic diseases.

The inhalable medicinal product according to the invention is packaged in pressurized gas containers,  
35 such as gas bottles, and is dispensed to the patient via an appropriate system for administering gas, equipped with a breathing mask, a tracheal catheter, or the like.

Claims

1. Use of nitrous oxide ( $N_2O$ ) and/or of xenon or of an  $N_2O$  or xenon donor, for producing all or part of a medicinal product intended to treat, minimize or prevent post-ischaemic brain cell deterioration.
2. Use according to Claim 1, characterized in that all or part of the gaseous medicinal product is in inhalable form.
3. Use according to either of Claims 1 and 2, characterized in that the post-ischaemic brain deterioration results in or is subsequent to a stroke.
4. Use according to one of Claims 1 to 3, characterized in that the xenon or the xenon donor is in gaseous form or is included in a gas or mixture of gases.
5. Use according to one of Claims 1 to 4, characterized in that the nitrous oxide ( $N_2O$ ) or the nitrous oxide donor is in gaseous form or is included in a gas or in a mixture of gases.
6. Use according to one of Claims 1 to 5, characterized in that the medicinal product contains an effective proportion of nitrous oxide ( $N_2O$ ) and/or of xenon or of an  $N_2O$  or xenon donor.
7. Use according to one of Claims 1 to 6, characterized in that the medicinal product also contains at least one other gaseous compound chosen from oxygen, nitrogen or argon, preferably nitrogen and oxygen.
8. Use according to one of Claims 1 to 7, characterized in that the medicinal product contains an

amount which is less than 60% by volume of xenon or of xenon donor, preferably less than or equal to 50% by volume.

- 5 9. Use according to one of Claims 1 to 8, characterized in that the medicinal product contains an amount ranging up to 80% by volume of  $N_2O$  or of  $N_2O$  donor, preferably up to 75% of  $N_2O$ .
- 10 10. Use according to one of Claims 1 to 9, characterized in that the medicinal product contains from 19 to 25% by volume of oxygen and, optionally, of nitrogen.
- 15 11. Inhalable medicinal product with neuroprotective action in the brain, containing an effective amount of nitrous oxide ( $N_2O$ ) and/or of xenon or of a donor of such a compound.
- 20 12. Medicinal product according to Claim 11, characterized in that it contains an amount ranging up to 80% by volume of  $N_2O$ . or an amount which is less than 60% by volume of xenon.
- 25 13. Medicinal product according to either of Claims 11 and 12, characterized in that it also contains from 19 to 25% by volume of oxygen and, optionally, of nitrogen.
- 30 14. Pressurized gas container containing a medicinal product according to one of Claims 11 to 13, in particular a gas bottle.

Claims

1. Use of nitrous oxide ( $N_2O$ ) or of an  $N_2O$  donor, for producing all or part of a medicinal product intended  
5 to treat, minimize or prevent post-ischaemic brain cell deterioration.
2. Use according to Claim 1, characterized in that  
10 all or part of the gaseous medicinal product is in inhalable form.
3. Use according to either of Claims 1 and 2,  
characterized in that the post-ischaemic brain  
15 deterioration results in or is subsequent to a stroke.
4. Use according to one of Claims 1 to 3,  
characterized in that the medicinal product also  
contains xenon or a xenon donor, the xenon or the xenon  
20 donor being in gaseous form or being included in a gas or mixture of gases.
5. Use according to one of Claims 1 to 4,  
characterized in that the nitrous oxide ( $N_2O$ ) or the  
nitrous oxide donor is in gaseous form or is included  
25 in a gas or in a mixture of gases.
6. Use according to one of Claims 1 to 5,  
characterized in that the medicinal product contains an  
effective proportion of nitrous oxide ( $N_2O$ ) and/or of  
30 xenon or of an  $N_2O$  or xenon donor.
7. Use according to one of Claims 1 to 6,  
characterized in that the medicinal product also  
contains at least one other gaseous compound chosen  
35 from oxygen, nitrogen or argon, preferably nitrogen and oxygen.

8. Use according to one of Claims 1 to 7, characterized in that the medicinal product contains an amount which is less than 60% by volume of xenon or of xenon donor, preferably less than or equal to 50% by  
5 volume.

9. Use according to one of Claims 1 to 8, characterized in that the medicinal product contains an amount ranging up to 80% by volume of N<sub>2</sub>O or of N<sub>2</sub>O  
10 donor, preferably up to 75% of N<sub>2</sub>O.

10. Use according to one of Claims 1 to 9, characterized in that the medicinal product contains from 19 to 25% by volume of oxygen and, optionally, of  
15 nitrogen.

11. Inhalable medicinal product with neuroprotective action in the brain, containing an effective amount of nitrous oxide (N<sub>2</sub>O) or of a donor of such a compound.  
20

12. Medicinal product according to Claim 11, characterized in that it contains an amount ranging up to 80% by volume of N<sub>2</sub>O.

25 13. Medicinal product according to Claim 11, characterized in that it also contains xenon or a donor of such a compound, preferably in an amount which is less than 60% by volume of xenon.

30 14. Medicinal product according to one of Claims 11 to 13, characterized in that it also contains from 19 to 25% by volume of oxygen and, optionally, of nitrogen.

15. Pressurized gas container containing a medicinal  
35 product according to one of Claims 11 to 14, in particular a gas bottle.



1st filing Amended on 27/06/03

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FIG 1

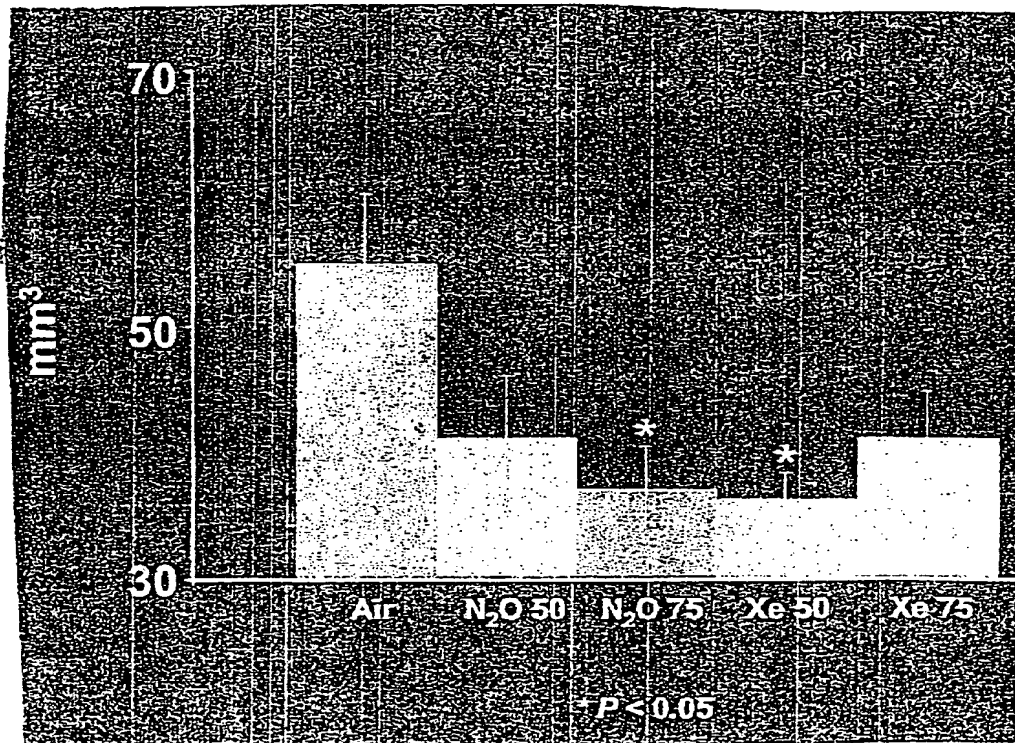
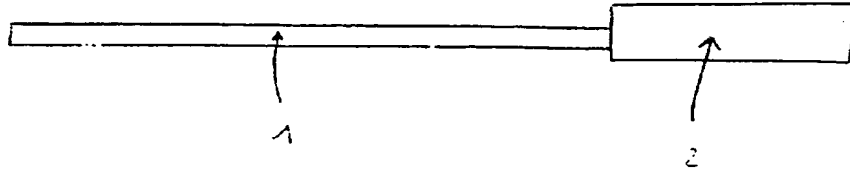


FIG 4

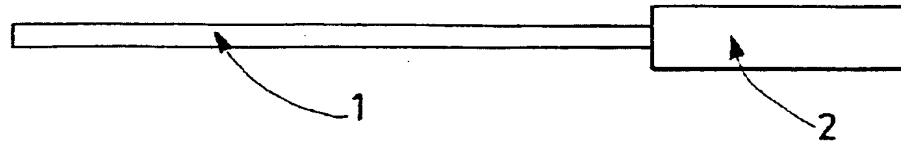
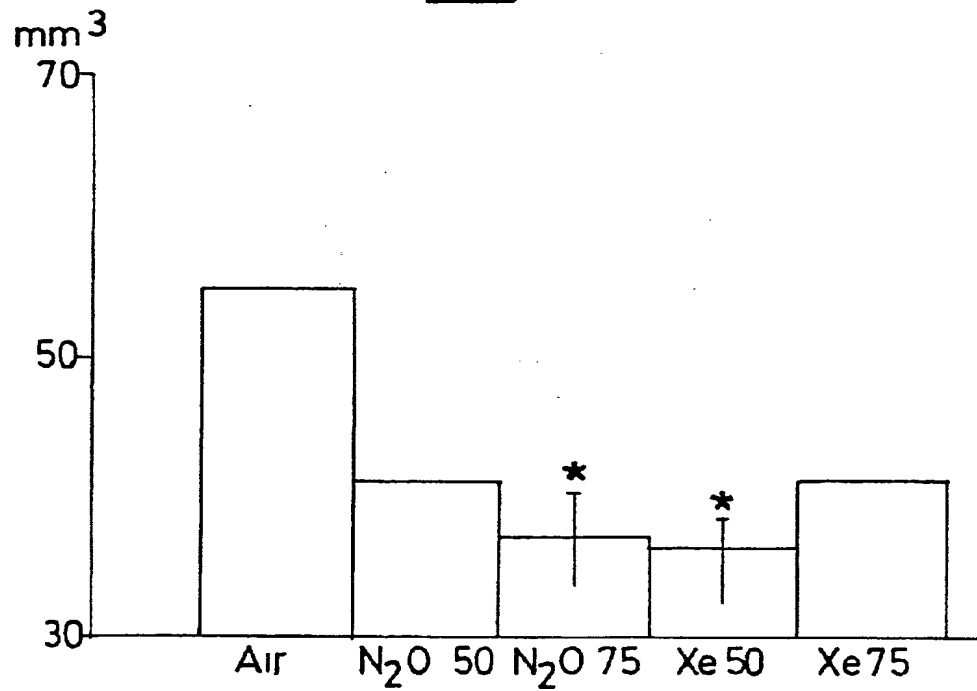


FIG.1



\* P < 0.05

FIG.4

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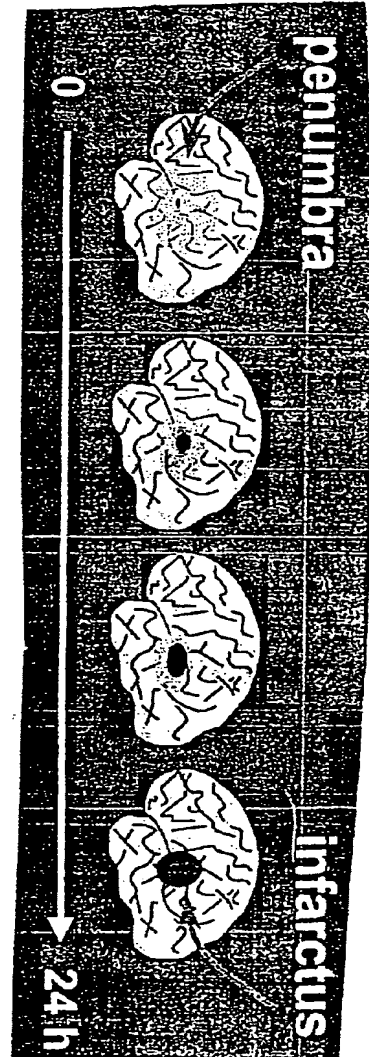
Amended on 27/06/03

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F G 1

F G 2



received on 27/06/03

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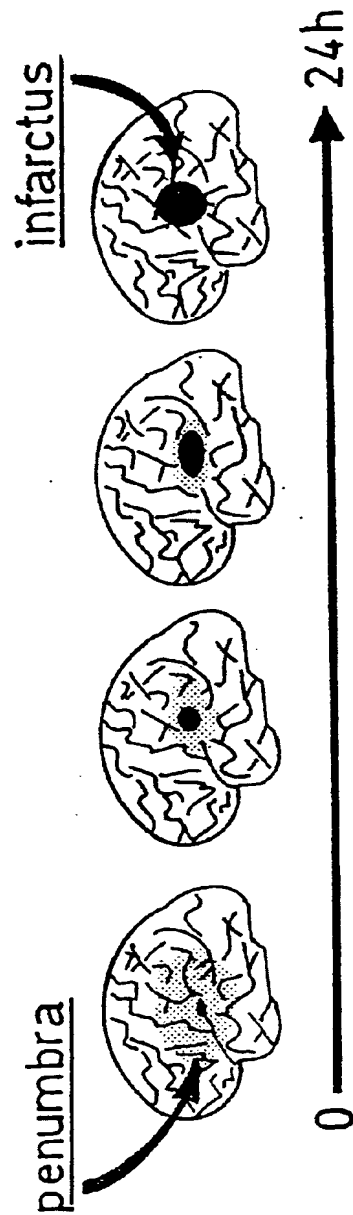


FIG.2

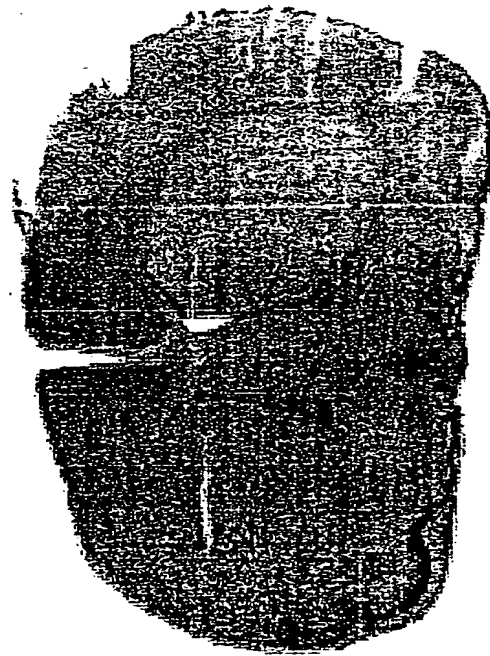
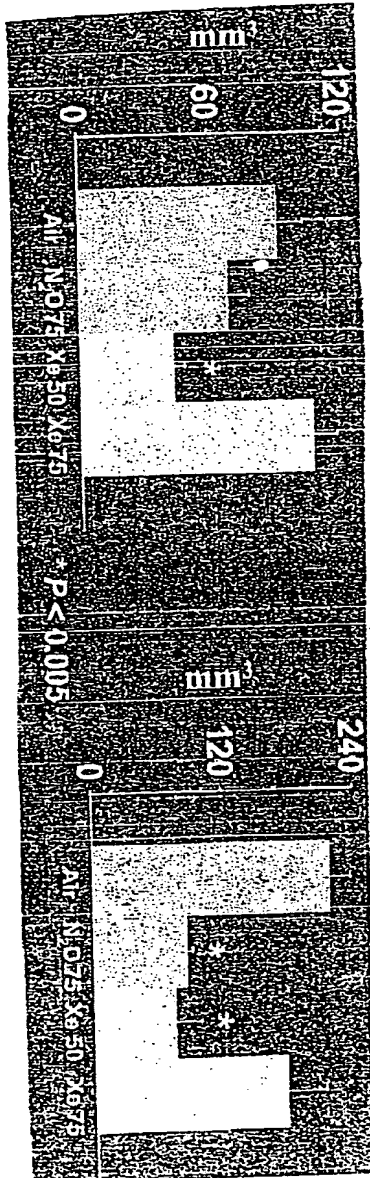
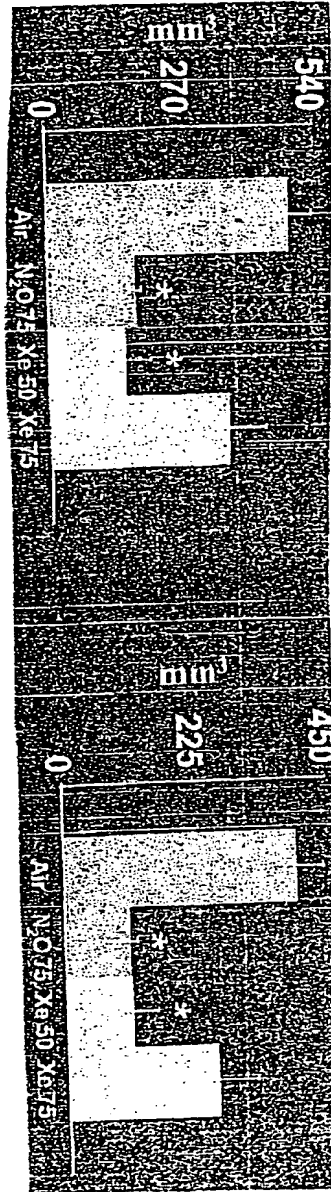


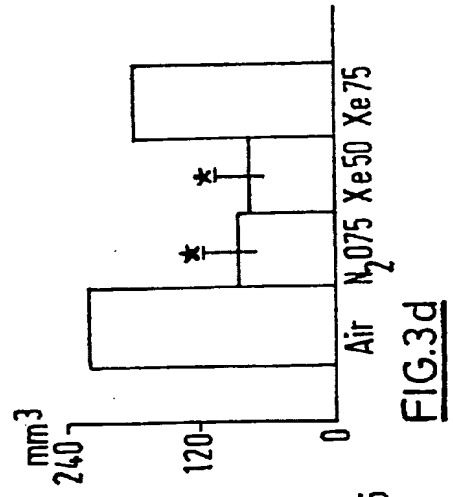
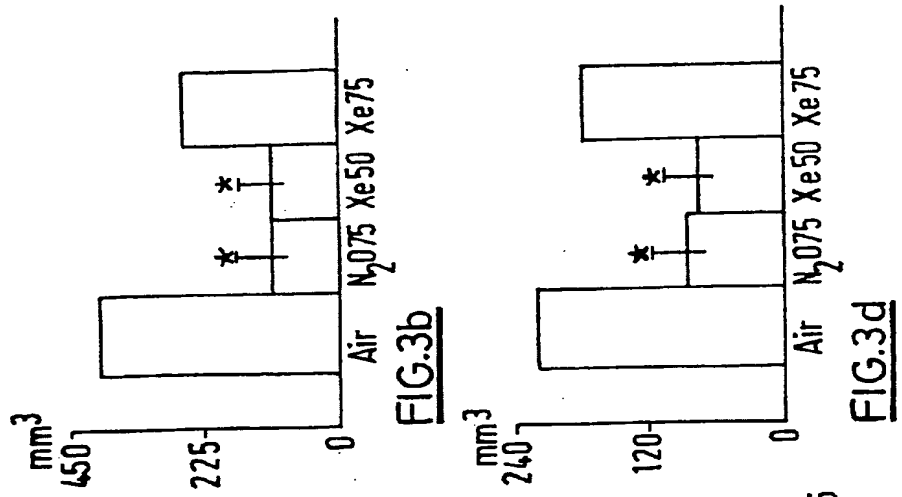
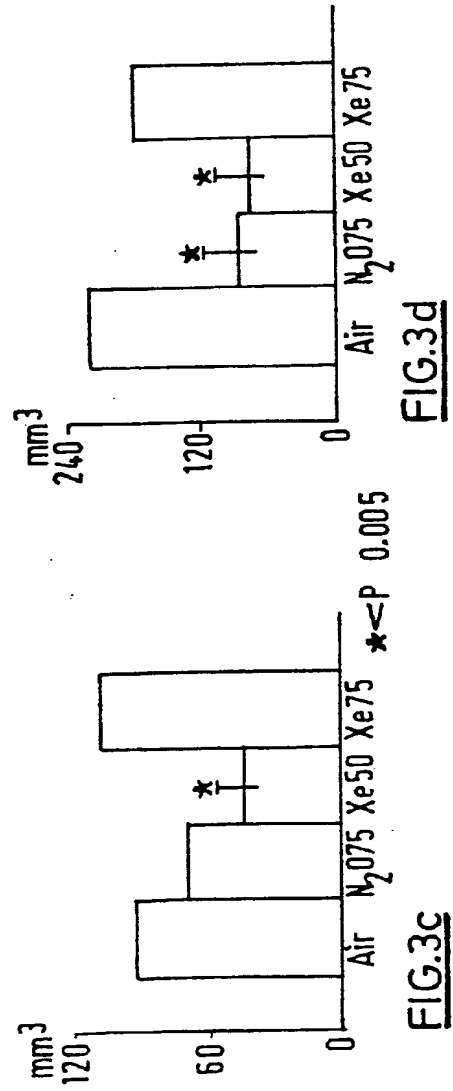
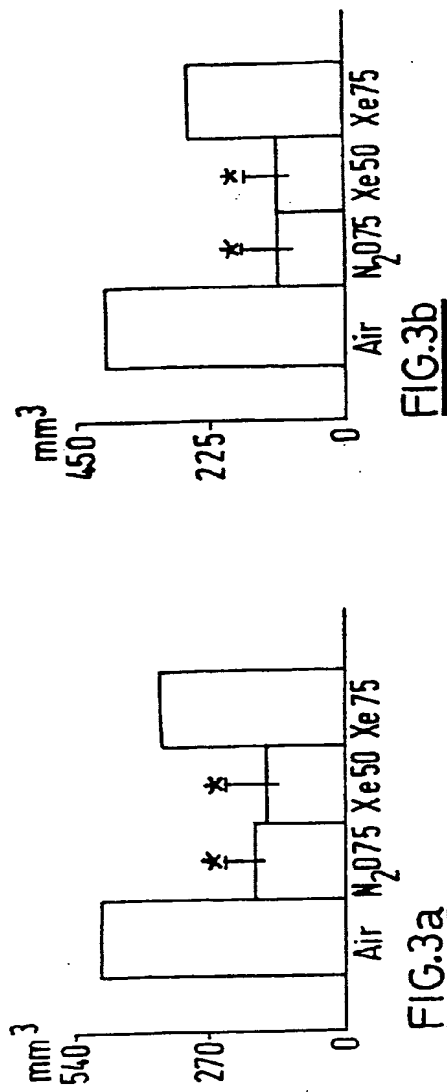
FIG.5

1st filing

Amended on 27/06/03

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**PATENT**  
**CERTIFICATE OF UTILITY**

**DESIGNATION OF THE INVENTOR**

|   |   |
|---|---|
| <b>Your file references</b>               | S6093 ALSI OP   |
| <b>National Registration No.</b>          |   |
| <b>TITLE OF THE INVENTION</b>             | USE OF XENON OR N2O IN THE TREATMENT OF POST-ISCHAEMIC BRAIN CELL DETERIORATION |
| <b>APPLICANT(S) OR REPRESENTATIVE(S):</b> |   |

|                                     |              |
|-------------------------------------|--------------|
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| <b>Forenames</b>                    | Marc         |
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| <b>Employer company</b>             |              |

Law no. 78-17 of 6 January 1978 relating to data processing, files and freedoms applies to the answers given in this form.  
It guarantees right of access to and amendment of data concerning you held at the INPI.